PTO/SB/21 (08-00)

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(to be used for all correspondence after initial filing)

Application Number	10/083,093	
Filing Date	February 26, 2002	
First Named Inventor	Shea	-+
Group Art Unit	3732	1
Examiner Name		
Attorney Docket Number	10557/266546	:

Total Number of Pages in This Submission		Attorney Docket Number		y Docket Number	10557/266546			
ENCLOSURES (check all that apply)								
Fee Transmittal F	-orm	Assignment Papers (for an Application),			After Allowance Communication to Group			
Fee Attached	ı	Drawing(s)		Drawing(s)		'	Appeal Communication to Board of Appeals and Interferences	
Amendment / Res	sponse	Licensing	g-related	d Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition			Proprietary Information			
Affidavits/dec	claration(s)	Petition to Provision			Status Letter			
Extension of Time	e Request	Power of Attorney, Revocation Change of Correspondence Address						
Express Abandor	nment Request	Terminal Request f		-Return Receipt Postcard				
· Information Disclo	osure Statement	CD, Number of CD(s)		CD(s)				
Certified Copy of Document(s)	Priority	Remarks	s	RECEIVED				
Response to Miss Incomplete Applic				JAN 0 2 2003				
Response to Missing Parts under 37 CFR 1.52 or 1.53				TECHNOLOGY CENTER RE70				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Individual name	Kristin D. Mallatt, Reg. No. 46,895							
Signature	Kistin Mallar 23370							
Date	Kistin Mallar 23370 12/23/02							
CERTIFICATE OF MAILING								

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED e Application of: JAN 0 2 2003 Jeffrey J. Shea and Stephen T. Miller) **TECHNOLOGY CENTER R3700** Group Art Unit: 3732 Serial No.: 10/083,093 Examiner: February 26, 2002 Filed: LOCKING SYSTEMS FOR For: **IMPLANTS** Attorney Docket No. 10557/266546 Commissioner of Patents Date: 12/23/02 Washington, D.C. 20231

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants identify the documents listed on the modified Form PTO/SB/08A and 08B accompanying this submission. Copies of the publications listed are enclosed for the Examiner's consideration.

### Remarks 1 4 1

Applicants file this paper pursuant to 37 C.F.R. 1.97(b)(3), before the mailing of a first Office action. If an Office action has issued Applicants file this paper pursuant to 37 C.F.R 1.97(e) and certify that each item listed has been cited in the International Search Report in related Application No. PCT/US02/05898, a copy of which is enclosed, not more than three months prior to this submission. Applicants believe that no fee is due in connection with this filing. However, the Commissioner is authorized to debit Deposit Account No. 11-0855 for any such fee due should Applicants' belief be mistaken.

Document No. 0 666 068 A is in a non-English language. In the Information Disclosure Statement filed on August 29, 2002 Applicants cited U.S. Patent No. 5,645,606, an English-language family member. U.S. Patent No. 5,310,408 was cited in the Information Disclosure Statement filed on August 29, 2002. Applicants cite U.S. Patent No. 4,792,337, which is an

U.S. Serial No. 10/083,093 Filed: February 26, 2002

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#### **TECHNOLOGY CENTER R3700**

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English-language family member of Document No. EP 0 265 712A. Document No. 2 787 013 and Document No. DE 197 14 050 A are in a non-English language. No English-language documents or abstracts are available. Both documents are categorized as "A" documents, which define the general state of the art and are not considered to be of particular relevance. Document No. EP 0 900 552 A1 was cited in the Information Disclosure Statement filed on August 29, 2002.

Applicants do not concede that the identified materials constitute prior art within the meaning of the United States patent laws.

Respectfully submitted,

Kristin D. Mallatt Reg. No. 46,895

ATTORNEY FOR ASSIGNEE

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